

JEW/S



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/809,809	03/26/2004	Tetsuya Ikuta	042278

38834  
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP  
1250 CONNECTICUT AVENUE, NW  
SUITE 700  
WASHINGTON, DC 20036

CONFIRMATION NO. 7816  
FORMALITIES LETTER  
\*OC000000012938606\*  
\*OC000000012938606\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 LWONDIM1 00000025 10809809

FILED UNDER 37 CFR 1.53(b)

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1201	86.00 OP

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$86** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$986** for a Large Entity

- \$770** Statutory basic filing fee.
- \$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$86**

- **\$86** for 1 independent claims over 3.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

(21)

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re the Application of: Tetsuya IKUTA et al.

**ATTN: BOX MISSING PARTS**

Serial No.: 10/809,809

Confirmation No.: 7816

Filed: March 26, 2004

Group Art Unit No.: 2811

For: SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD OF THE SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Commissioner for Patents  
P.O. Box 1450 Alexandria, VA. 22313-1450**

August 6, 2004

Dear Sir:

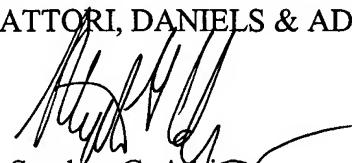
In response to the Notice to File Missing Parts of Application, dated June 14, 2004, enclosed for filing is an executed Declaration and Power of Attorney and (3) Certified Priority documents for the above-identified patent application.

Also, enclosed is our check in the amount of \$986.00, for the government filing fee of \$770.00, \$86.00 for (1) independent claim and the surcharge fee of \$130.00 for filing the late Declaration.

In the event that any additional fees are due with this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

  
Stephen G. Adrian  
Reg. No.: 32,878

Atty. Docket No.: 042278  
Suite 700  
1250 Connecticut Avenue, N.W., Suite 700  
Washington, D.C. 20036  
(202) 822-1100  
SGA/l